

*Critical Screening Field for Topological Surface States in Strained HgTe:
Investigation via RF Compressibility measurements*

Andreas Inhofer
03/10/2016
Topolyon

Experiment:

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Theory:

S. Tchoumakov¹, M. Goerbig¹, D. Carpentier²,
1 : Laboratoire Physique des Solides, U-Psud, Orsay, France,
2 : Laboratoire Physique, ENS-Lyon, France,

Sample fabrication and magneto-transport:

K. Bendias, D. Mahler, R. Schlereth, E. Bocquillon, C. Brüne, H. Buhmann, L.W. Molenkamp,
Universität Würzburg, Germany

RF field effect capacitor (B=0)



Mercury telluride (HgTe)

MBE growth

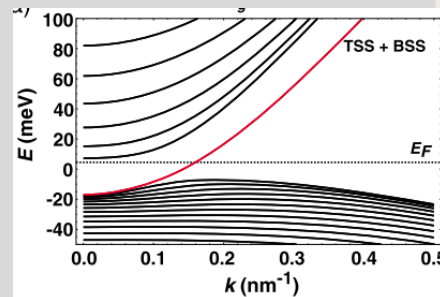
→ Batch processing

High quality

→ Mobilities $\sim 10^5 \text{ cm}^2/(\text{Vs})$

Negligible bulk doping

Small (bulk) gap:
25meV



„Topological surface state screening“

PHYSICAL REVIEW X 4, 041045 (2014)

Dirac-Screening Stabilized Surface-State Transport in a Topological Insulator

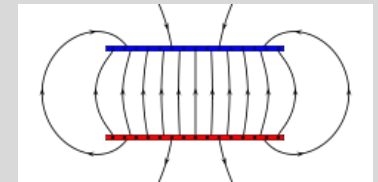
Christoph Brüne,¹ Cornelius Thienel,¹ Michael Stuiber,¹ Jan Böttcher,² Hartmut Buhmann,¹ Elena G. Novik,¹ Chao-Xing Liu,³ Ewelina M. Hankiewicz,² and Laurens W. Molenkamp¹

“[...]These observations imply that even at large carrier densities, the transport is surface-state dominated, where bulk transport would have been expected to coexist already.[...]”

Screening?

→ (critical) electric field

→ Capacitor!



Compressibility

Thermodynamics :

$$d\mu(n, T, E, B, \dots) = \frac{1}{\chi} dn - SdT + \tilde{D}dE + \tilde{H}dB + \dots$$

μ : chemical potential
 n : charge carrier density

Compressibility : $\chi(n, T, E, B, \dots) = \left(\frac{\partial n}{\partial \mu} \right)_{T, E, B = cte} = DoS(\epsilon_F, E, B, T)$

Introduction: Concepts and experimental principles

Results: Low and high frequency compressibility

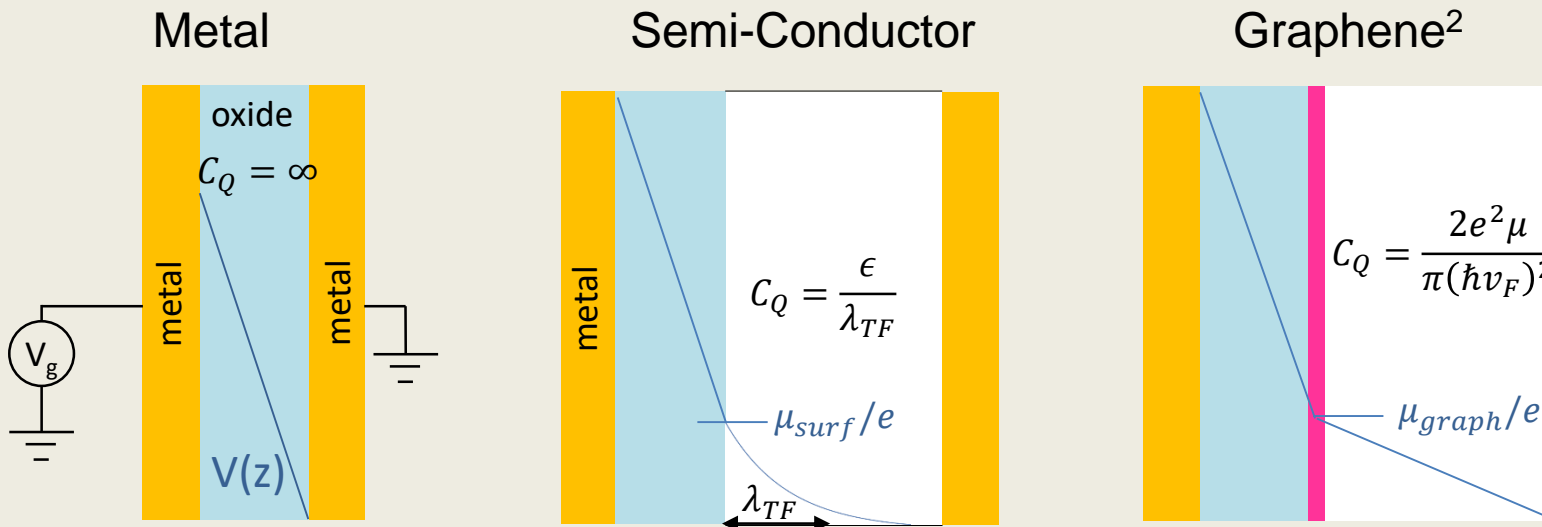
Model: Massive surface states

Compressibility : $\chi(n, T, E, B, \dots) = \left(\frac{\partial n}{\partial \mu} \right)_{T, E, B = cte} = DoS(\epsilon_F, E, B, T)$

Quantum capacitance : $\frac{1}{C} = \frac{1}{C_{ox}} + \frac{1}{C_Q}$; $\frac{C_Q}{e^2} = \left[\frac{\partial n_{tot}}{\partial \mu_{surf}}(n, B, T, \dots) \right]_{E = ne\epsilon_{ox}}$

Surface chemical potential¹ : $\mu_{surf} = \int \frac{\partial \mu_{surf}}{\partial n_{tot}} dn = e \int_{V_{DP}}^{V_g} \frac{C(V)}{C_Q(V)} dV$

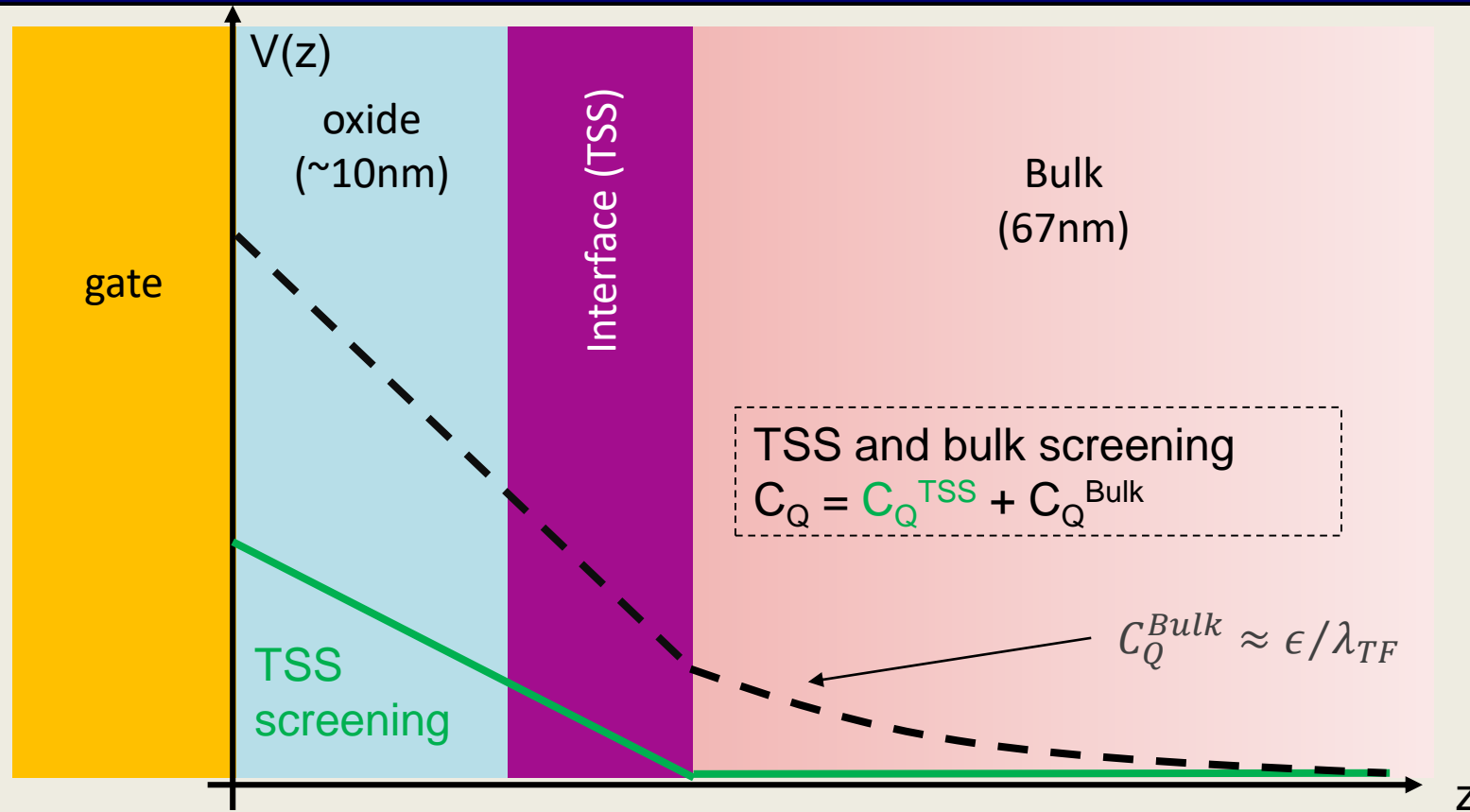
μ : chemical potential
 n : charge carrier density



1) Berglund, IEEE TED (1966)

2) Graphene compressibility : E Pallecchi et al., PRB 83, 125408 (2011)

See also HgTe magneto-compressibility by D. A. Kozlov et al., PRL 116, 166802 (2016)



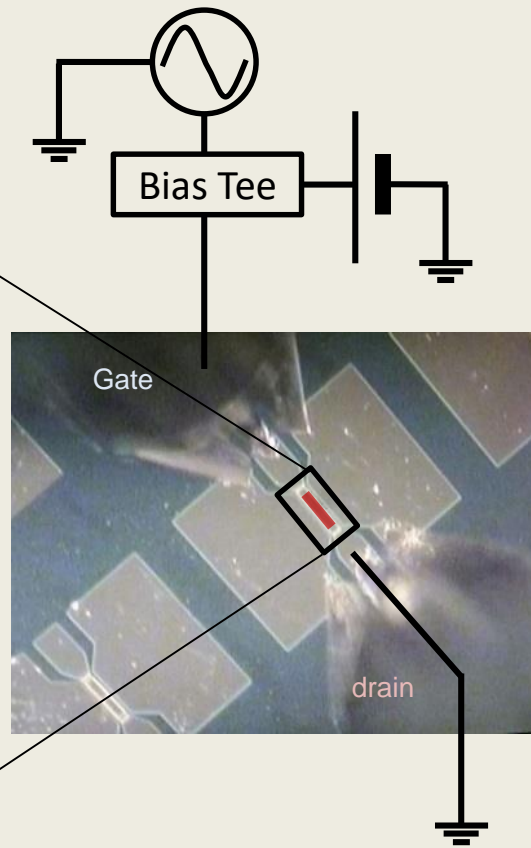
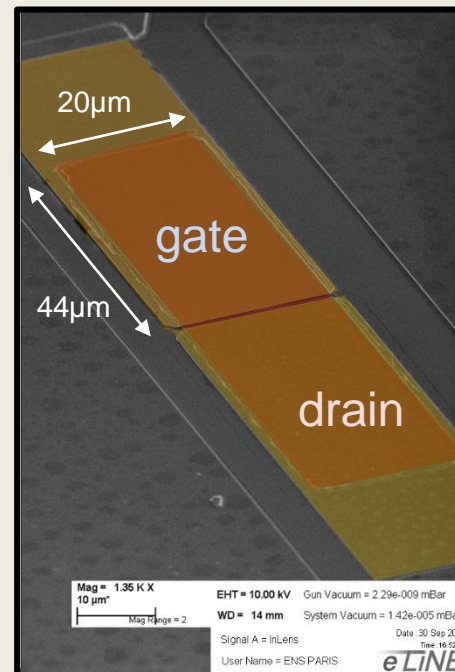
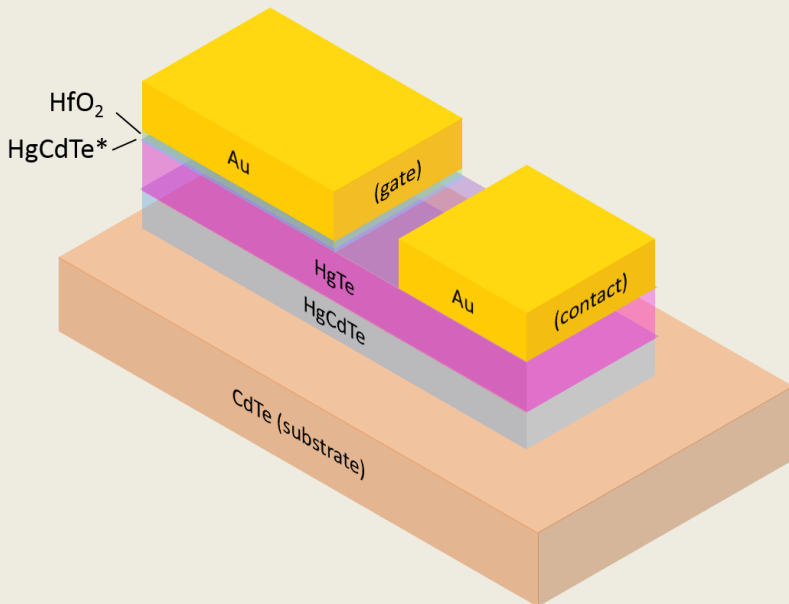
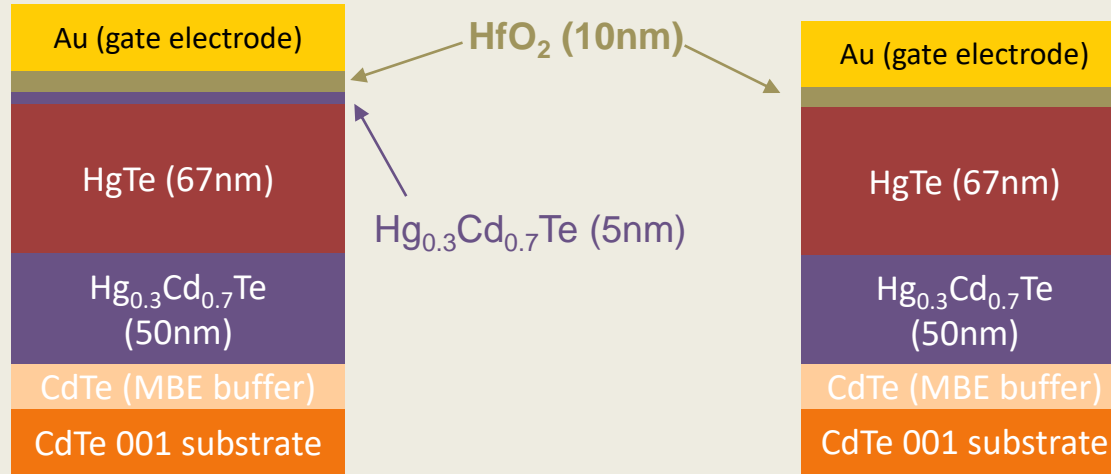
- Investigate equilibrium properties of Topological Matter : $\mu(n, E, T, B, \dots)$
- Limit of TSS screening \rightarrow Critical electrical field E_c
- Understand interplay between TSS and BS screening
- RF capacitor: acces dynamical properties of TSSs : diffusion constant $D(n, E, T, B, \dots)$

Capped sample ($< 2 \cdot 10^{16} \text{ cm}^{-3}$)

No capping (doping $\sim 4 \cdot 10^{17} \text{ cm}^{-3}$)

Doping $\leq 2 \cdot 10^{16} \text{ cm}^{-3}$
 Mobility $\approx 10^5 \text{ cm}^2/\text{Vs}$.

Doping $\approx 4 \cdot 10^{17} \text{ cm}^{-3}$
 Mobility $\approx 10^4 \text{ cm}^2/\text{Vs}$.



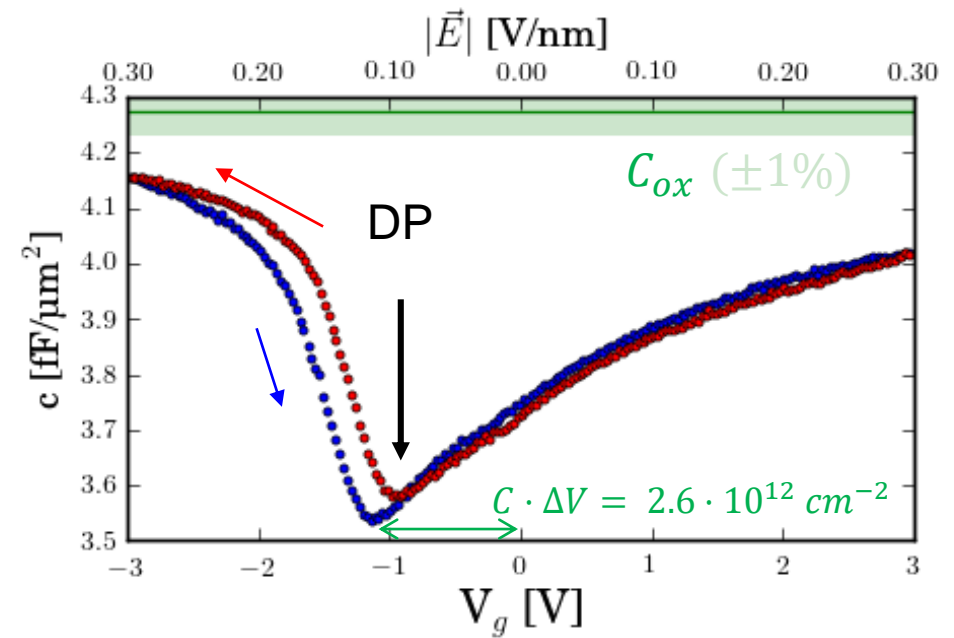
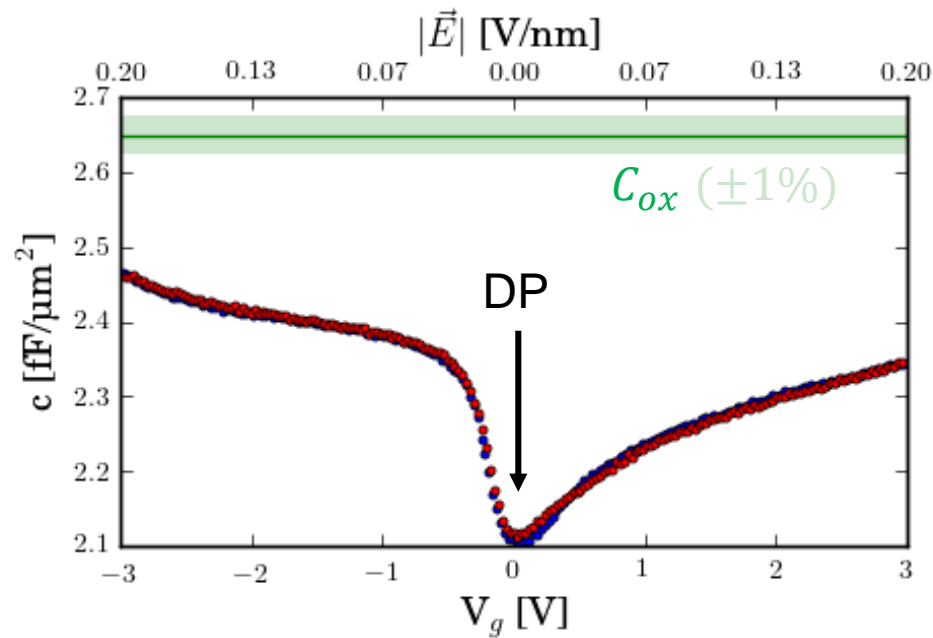
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capped (undoped)

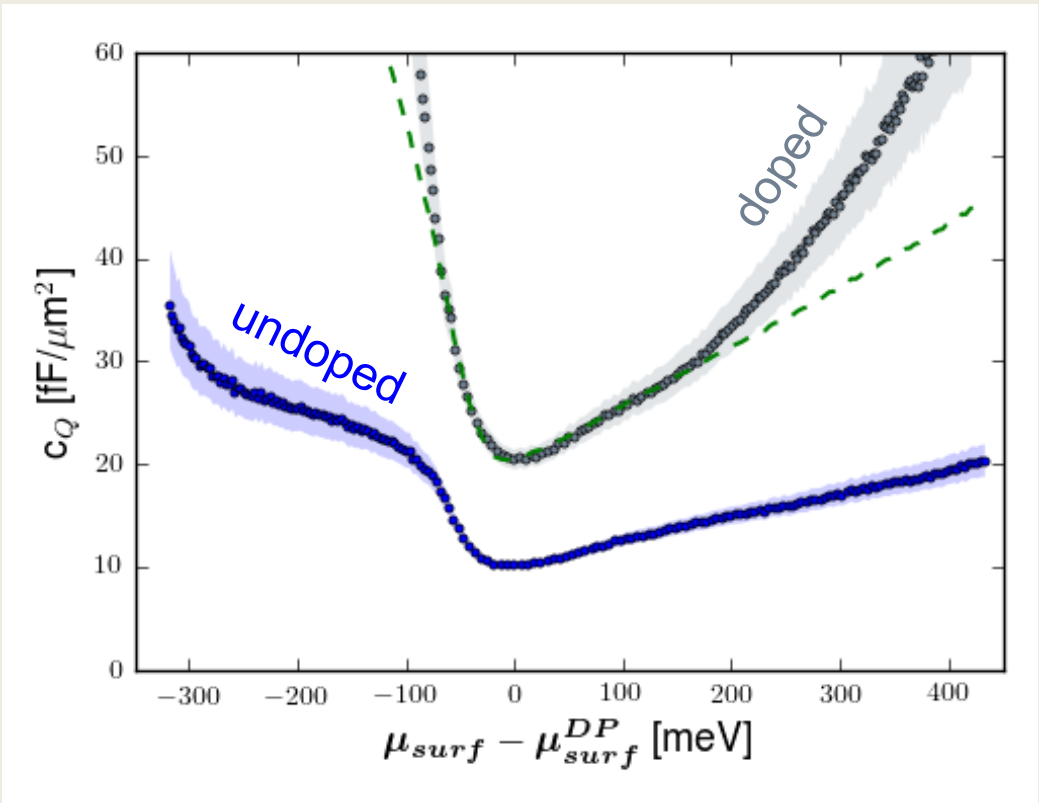
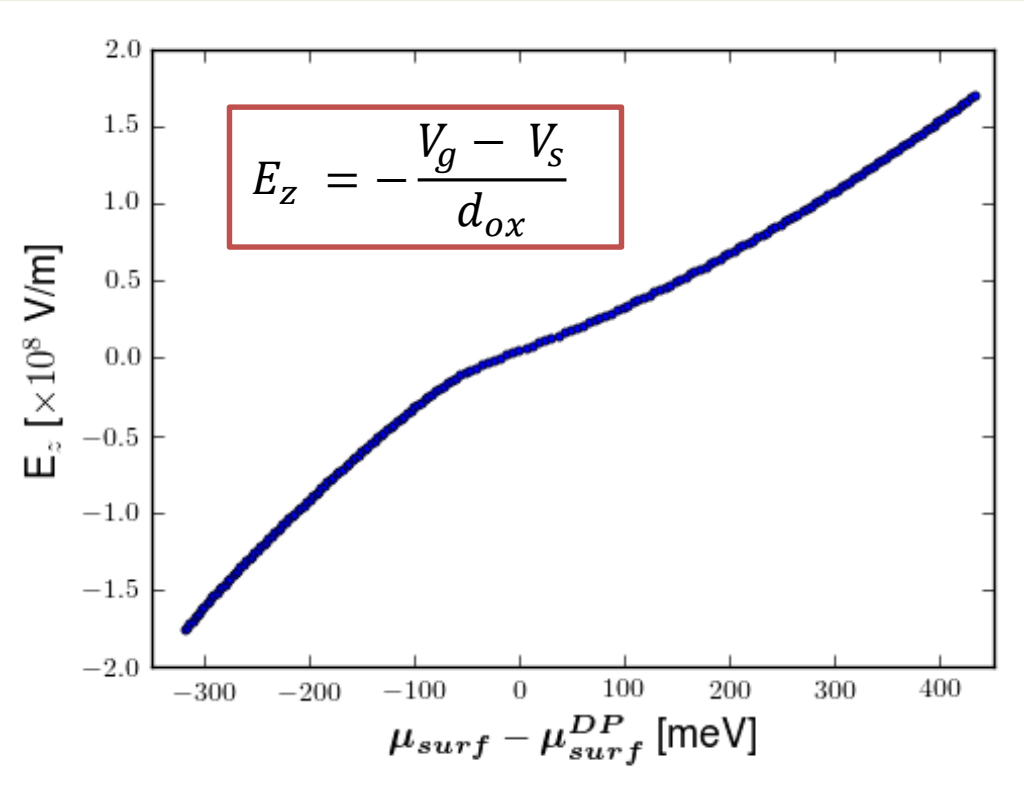
uncapped (doping $\approx 4 \cdot 10^{17} \text{ cm}^{-3}$)



- Reversible capacitance
- Minimum indicates BG / DP position ($\pm 6 \text{ meV}$) and doping
- Capped is « undoped »; uncapped sample is electron doped at $4 \cdot 10^{17} \text{ cm}^{-3}$

$$\frac{1}{C_Q} = \frac{1}{C} - \frac{1}{C_{ox}}$$

$$\mu_{surf} = \int \frac{\partial \mu_{surf}}{\partial n_{tot}} dn = e \int_{V_{DP}}^{V_g} \frac{C(V)}{C_Q(V)} dV$$

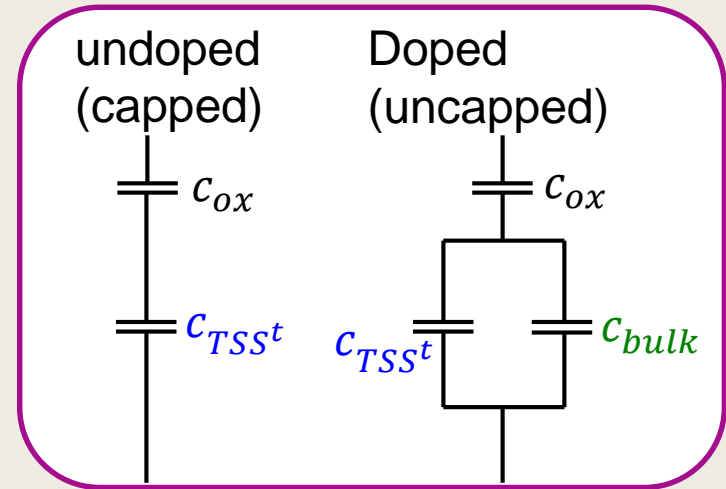
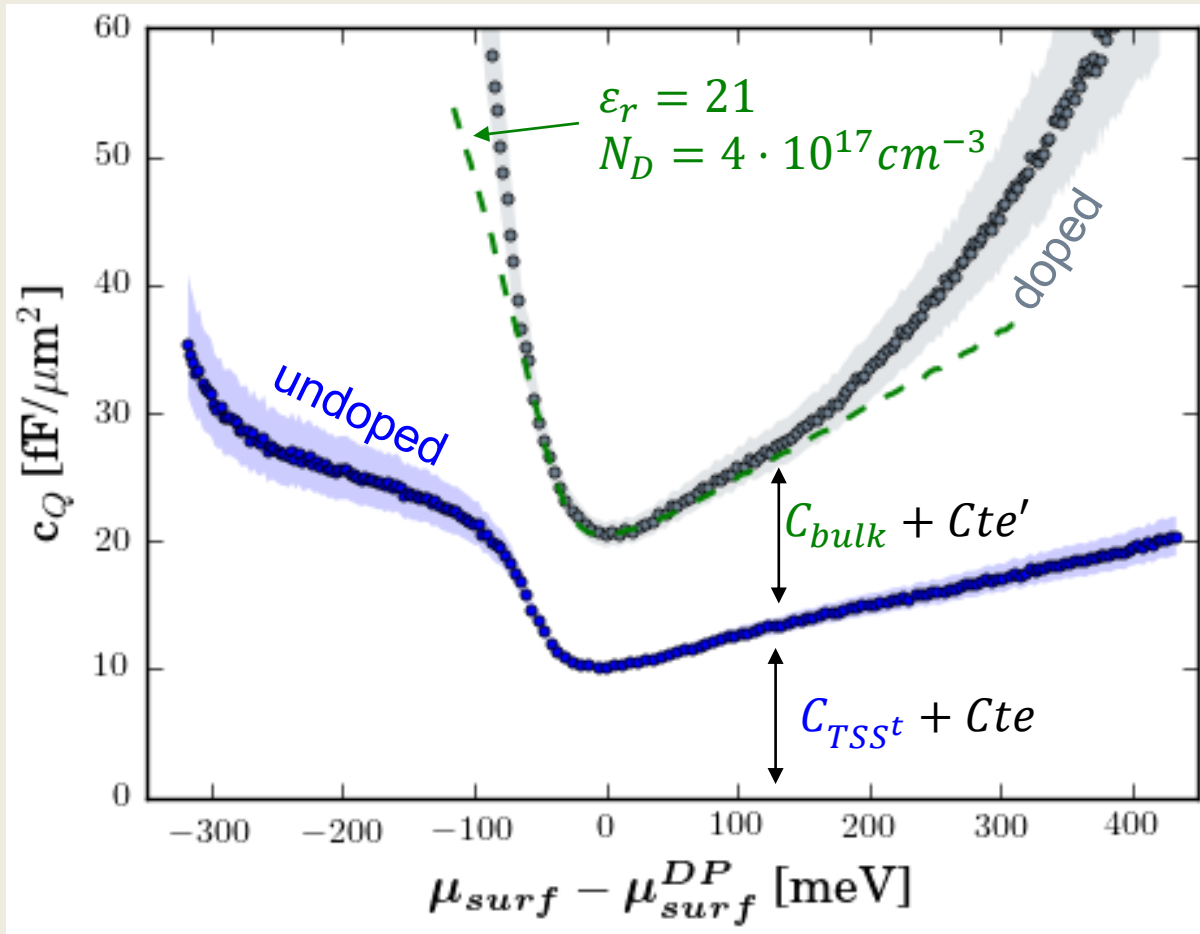


➤ Quantitative determination of c_Q , μ_s and E_z

➤ Variation of μ and E_z over very large ranges: $\mu \sim 400 \text{ meV}$, $E_z \sim 2 \times 10^8 \text{ V/m}$

$$\frac{1}{C_Q} = \frac{1}{C} - \frac{1}{C_{ox}}$$

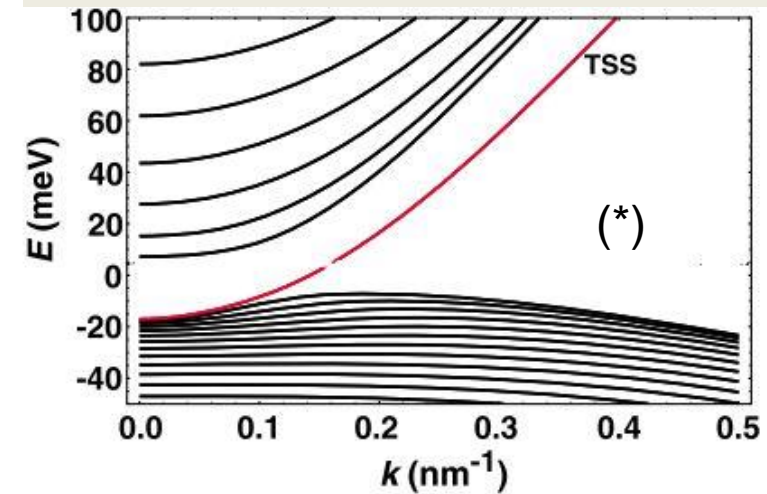
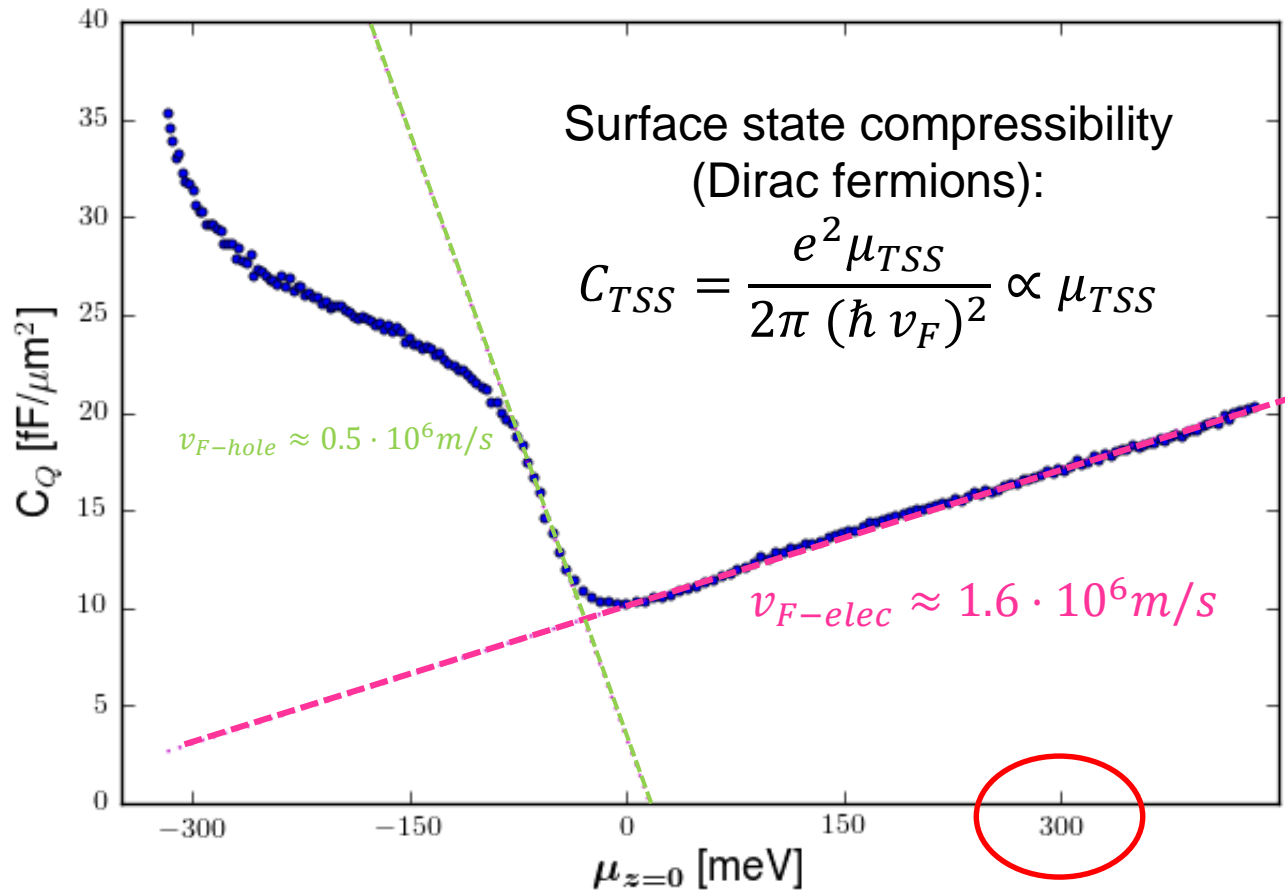
$$\mu_{surf}(V_g) = e \int_{V_{DP}}^{V_g} \left(1 - \frac{C}{C_{ox}} \right) dV$$



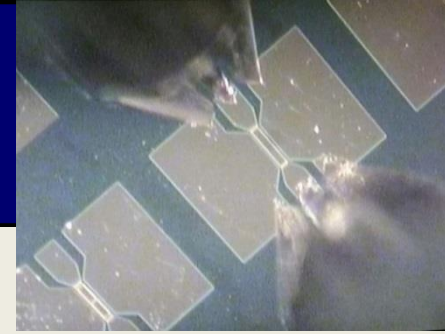
Formula for bulk compressibility :

$$C_{bulk} = \frac{\epsilon}{\lambda_{TF}(V_0)} = \sqrt{\frac{e\epsilon}{2}} \frac{N_D - N(\mu_\infty + eV_0)}{\sqrt{\int_{V_0}^0 dV [N_D - N(\mu_\infty + eV)]}}$$

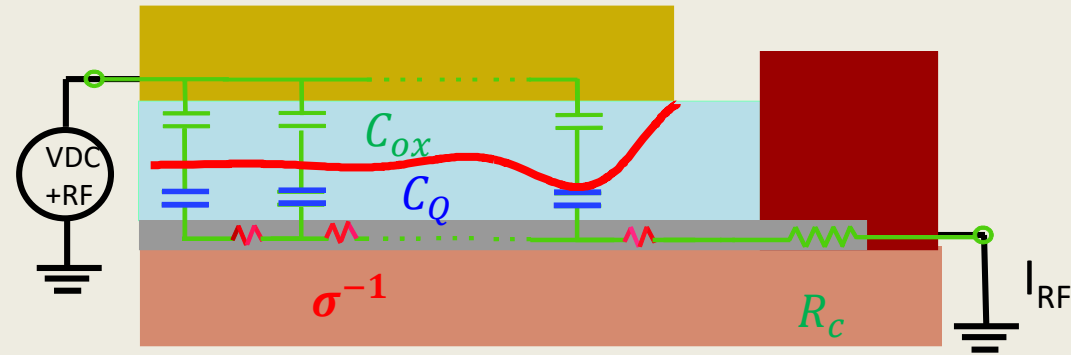
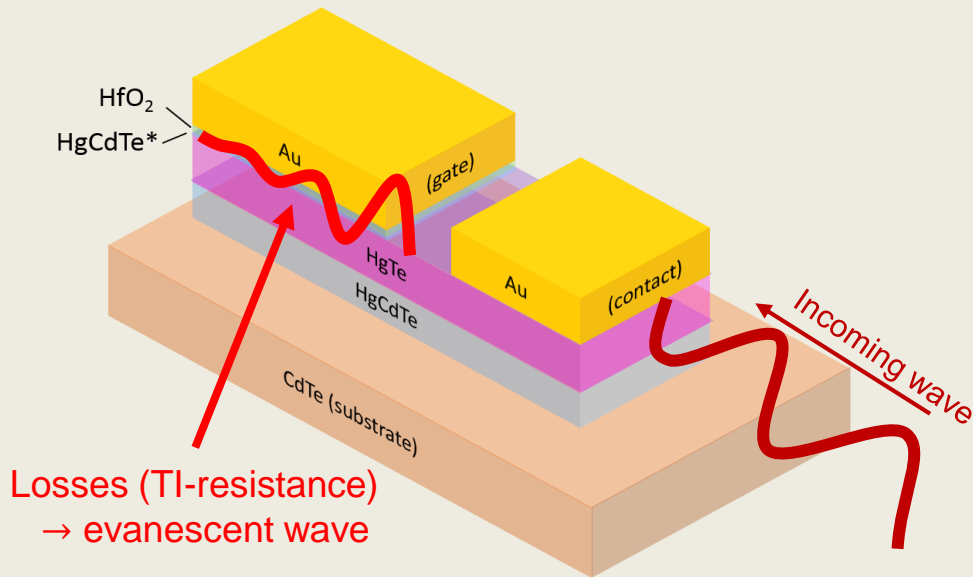
- Difference between doped and undoped explained by TF screening in the bulk ($\lambda_{TF} \approx 6 \text{ nm}$)
- Small background signal also for undoped sample (background $\Leftrightarrow N_D < 2 \cdot 10^{16} \text{ cm}^{-3}$)



- Pure TSS compressibility (no bulk contribution) up to $\mu_{surf} > 300 \text{ meV}$ ($\gg \Delta = 30 \text{ meV}$)
- TSS screening over 300 meV ($\gg \Delta = 30 \text{ meV}$) c.f. (*) C. Brüne *et al*, PRX 4, 041045 (2014)



- 40 GHz Vectorial Network Analyzer
- Broad band
- Complex spectrum
- DC to evanescent wave regime



Capacitor's complex admittance spectrum

- Simple sample geometry → 1D model:

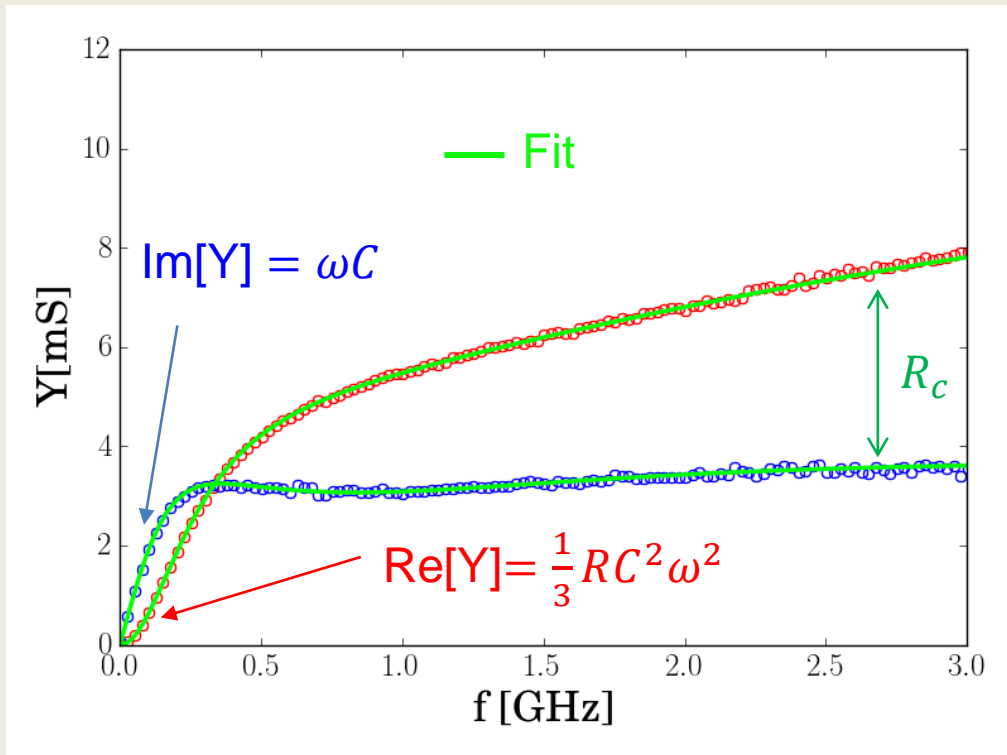
$$Y = j\omega CLW \times \frac{\tanh(L\sqrt{jC\omega/\sigma})}{L\sqrt{jC\omega/\sigma}}$$

- capacitance C + conductivity σ

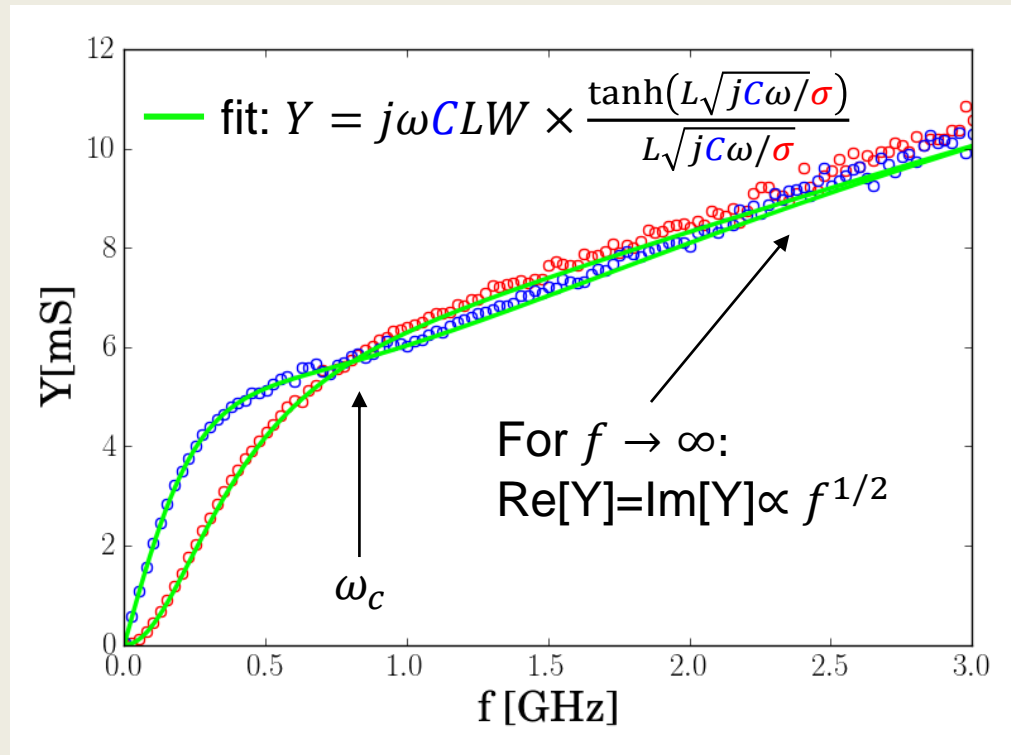
Einstein-Boltzmann relation

$$\sigma_{xx}(\mu) = C_Q(\mu) \cdot D(\mu)$$

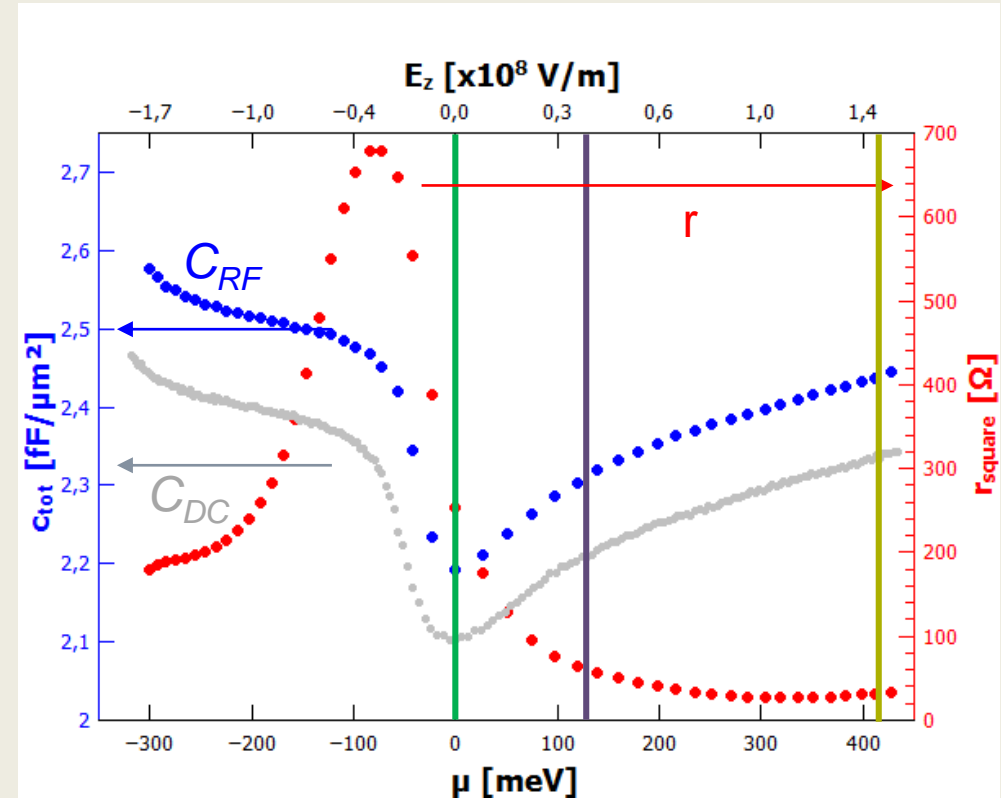
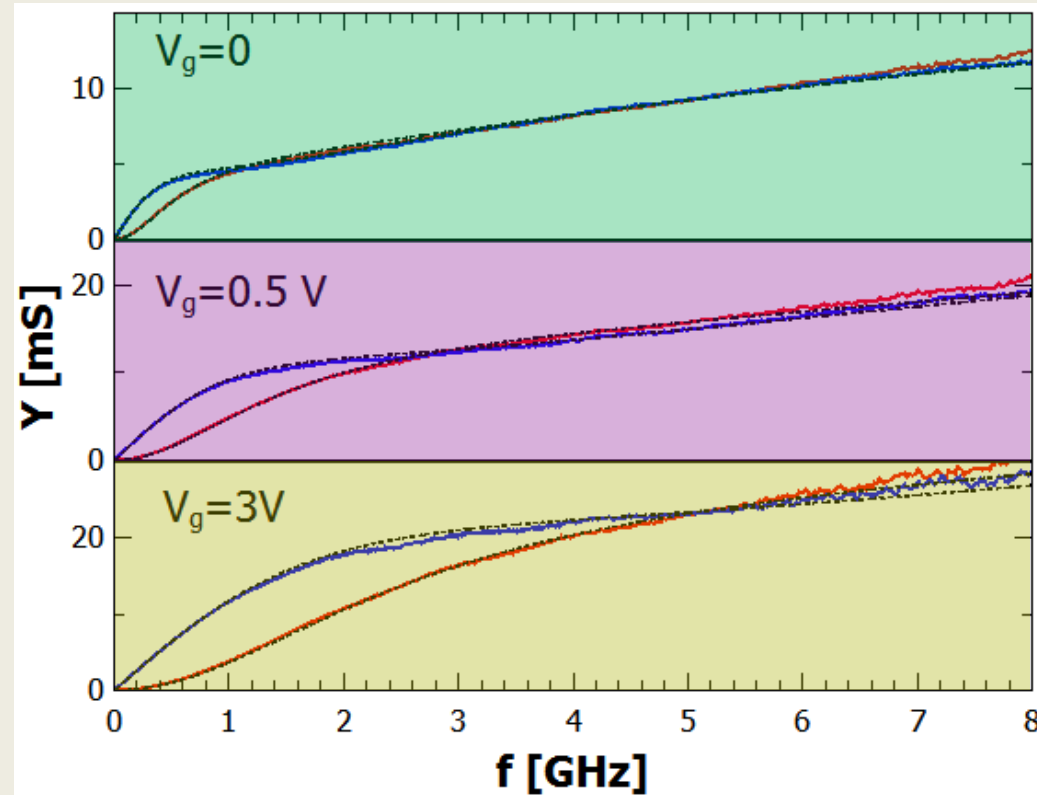
Raw admittance spectrum



Corrected for contact resistance ($\sim 1.6 \text{ k}\Omega \cdot \mu\text{m}$)

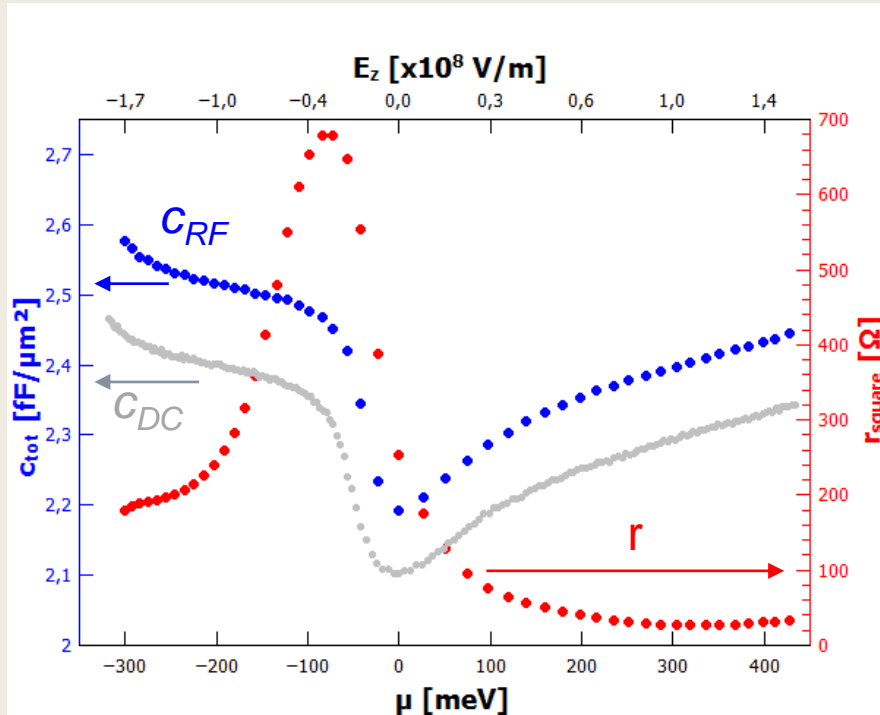


- Crossing corresponds to $RC\omega_c \sim 1$
- Evanescent waves at high frequency $\text{Re}[Y] = \text{Im}[Y] \propto f^{1/2}$
- 3-parameter fit → total capacitance C , conductance σ and contact resistance R_c

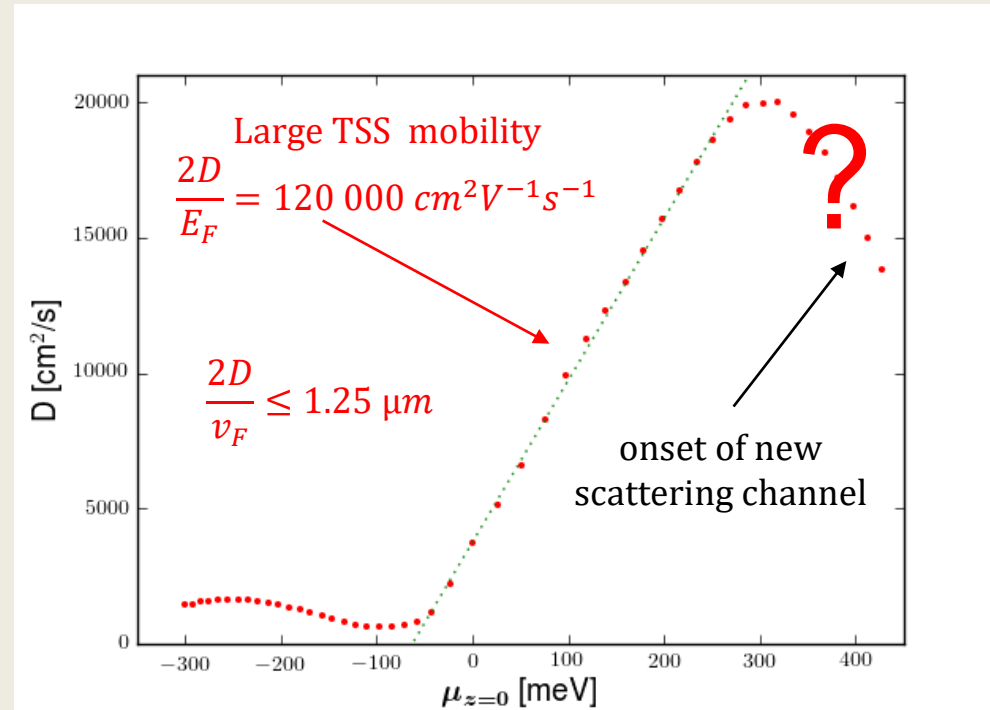


- 1D model remains valid for all gate voltages
- $C_{RF} \approx C_{quasi-DC}$ (difference due to amplifier offset)
- Additional information from resistance

TSS Capacitance/Conductivity

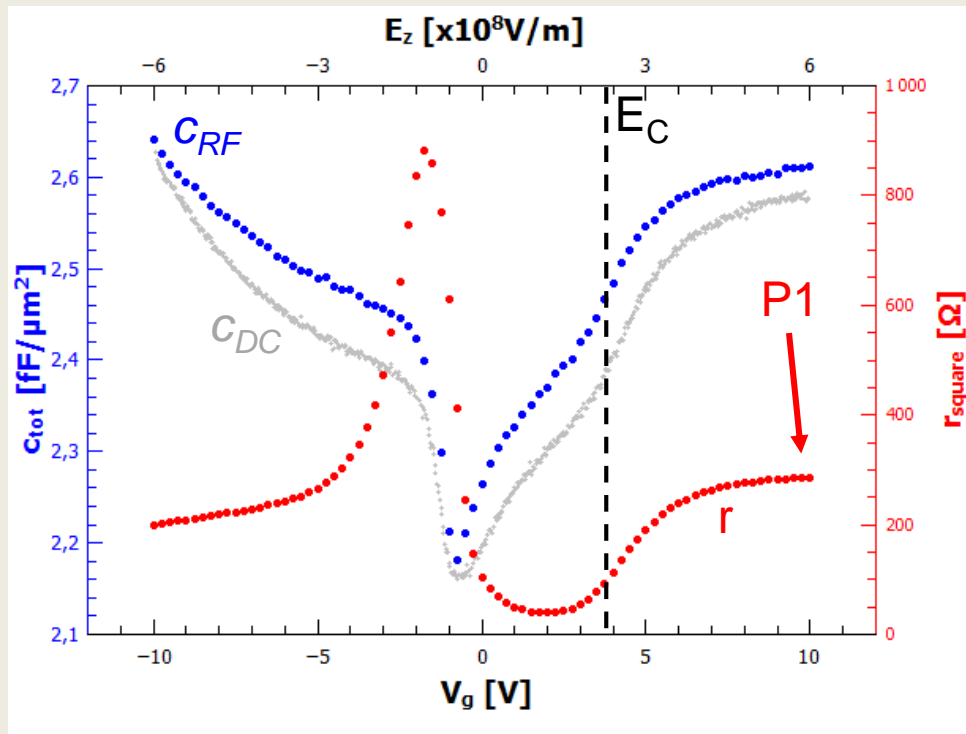


Diffusion Constant $D = \frac{1}{rc_Q}$

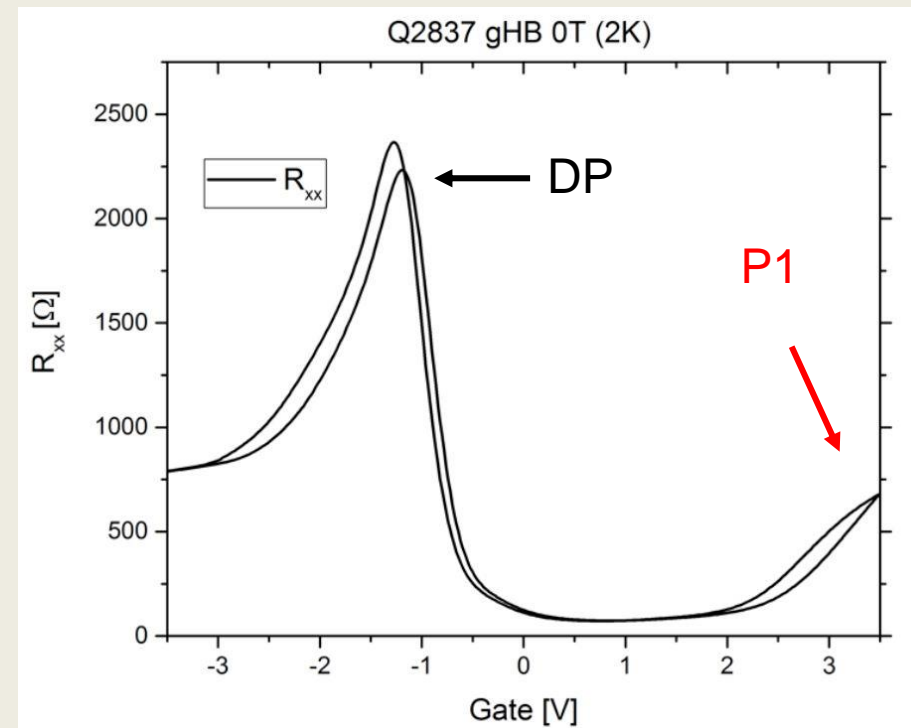


- RF and DC capacitance almost coincide (blue and grey)
- Asymmetric conductance for electrons and holes (red)
- Linear $D(\mu) \rightarrow$ large TSS mobility ($\mu \approx 120\,000\text{ cm}^2\text{V}^{-1}\text{s}^{-1}$)
- Fingerprint of a new scattering mechanism above 300 meV

RF capacitor (Paris)



Hall-bar (Würzburg)



- Onset of new scattering develops into a resistance peak at large electric field
- Also seen in the Hall bar
- Resistance peak is accompanied by a capacitance shoulder

- ~20% Capacitance variation → quantitative analysis of c_Q
- Batch- but not sample-dependent results!
- TSS screening over large range of μ (>10x gap) and large electric fields ($\sim 10^8$ V/m).
- Shoulder in capacitance and resistance peak → Critical field:

$$E_c \approx 2.2 \times 10^8 \text{ V/m}$$

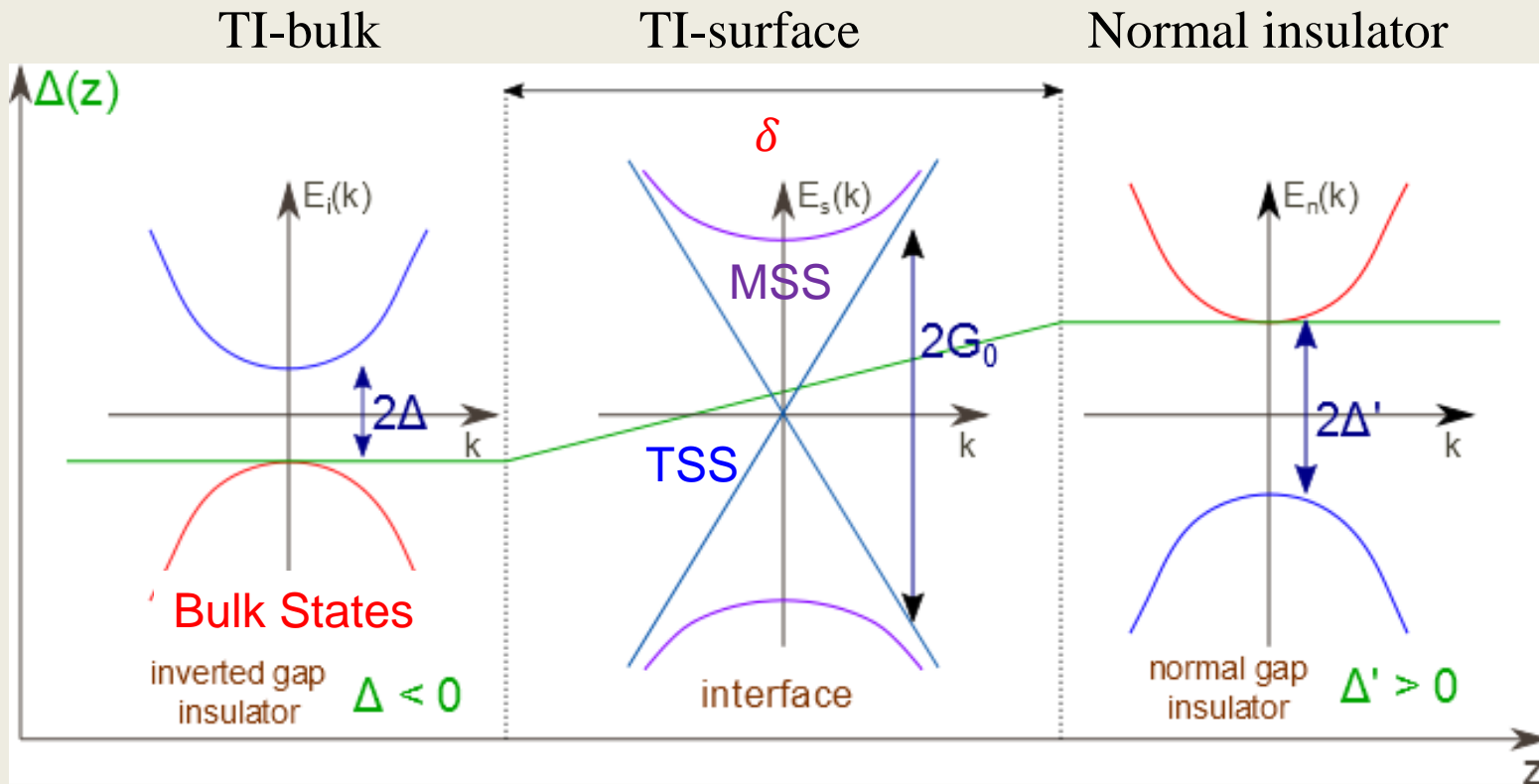
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Lpa Theory : excited Massive Surface States (MSS)

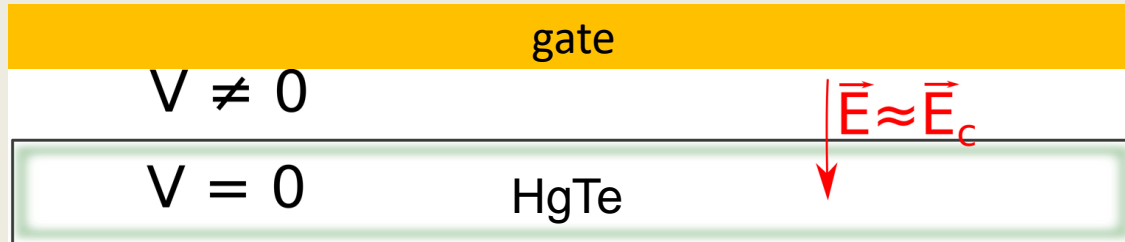
- Excited surface states described by massive Dirac Hamiltonians



- Surface states are solutions of a 1D Dirac oscillator, with gaps

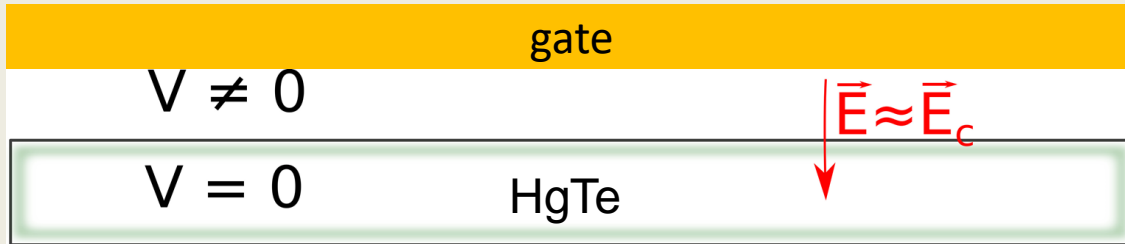
$$G_0^{(n)} = \sqrt{\frac{2(\Delta + \Delta')\hbar v_F}{\delta}} \sqrt{n} \gg \Delta, \mu, k_B T$$

Gate voltage induces large fields \vec{E} at the surface, comparable to a surface critical E_c

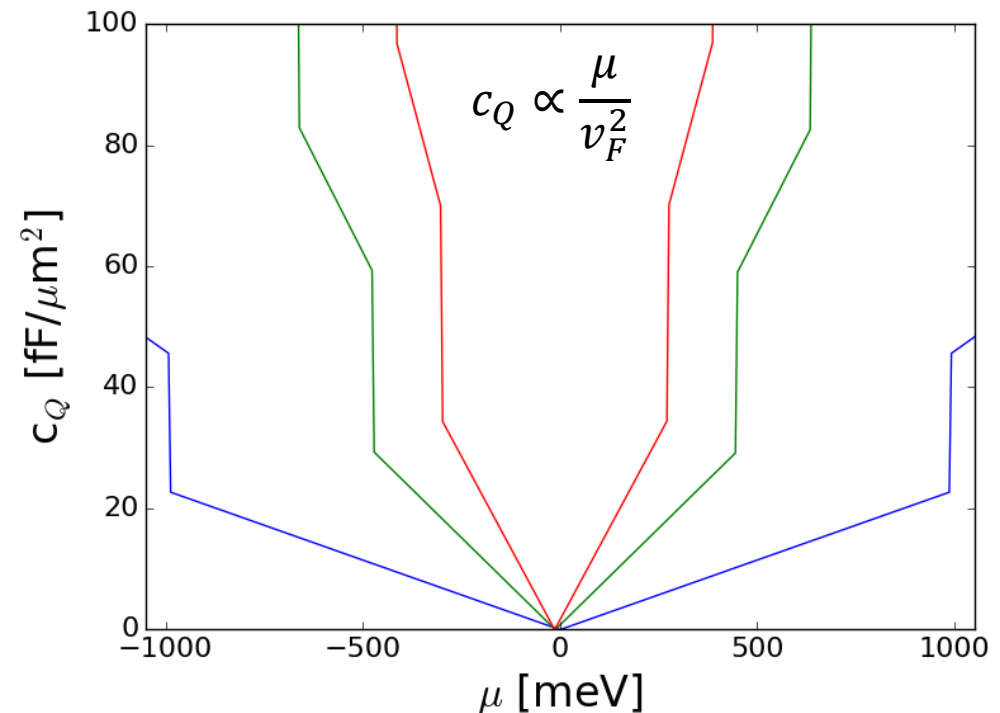
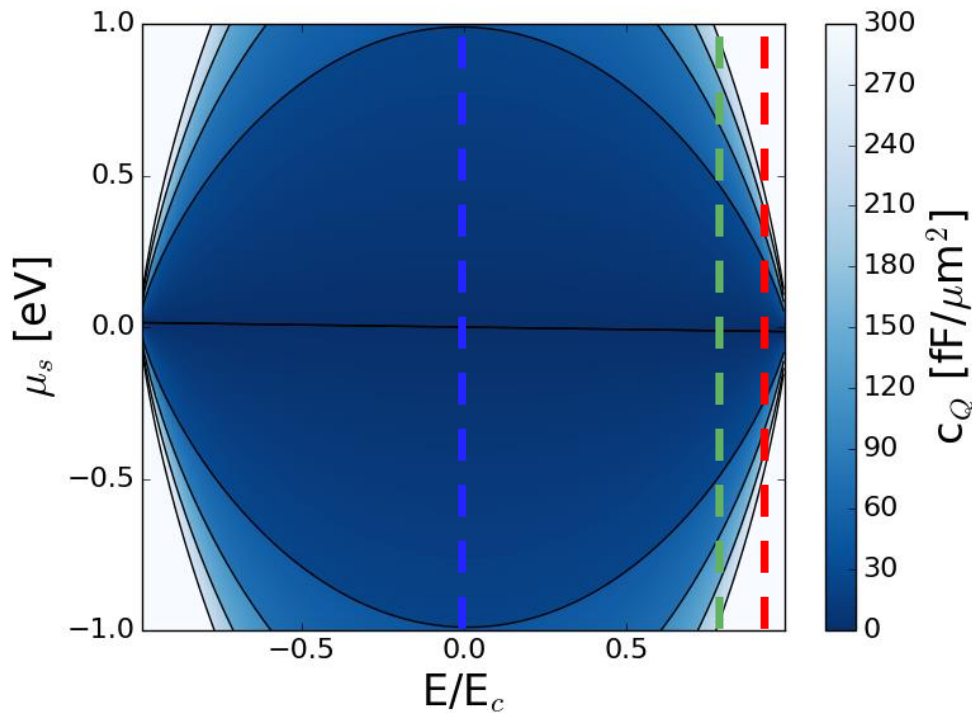


$$E_c = \frac{\Delta + \Delta'}{e \delta} \sim 0.6 \text{ V/nm}$$

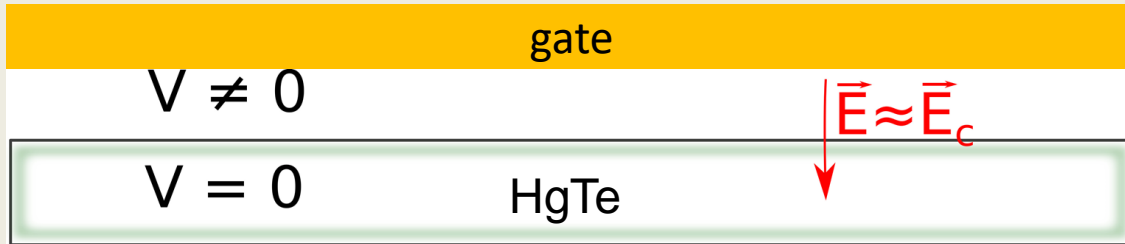
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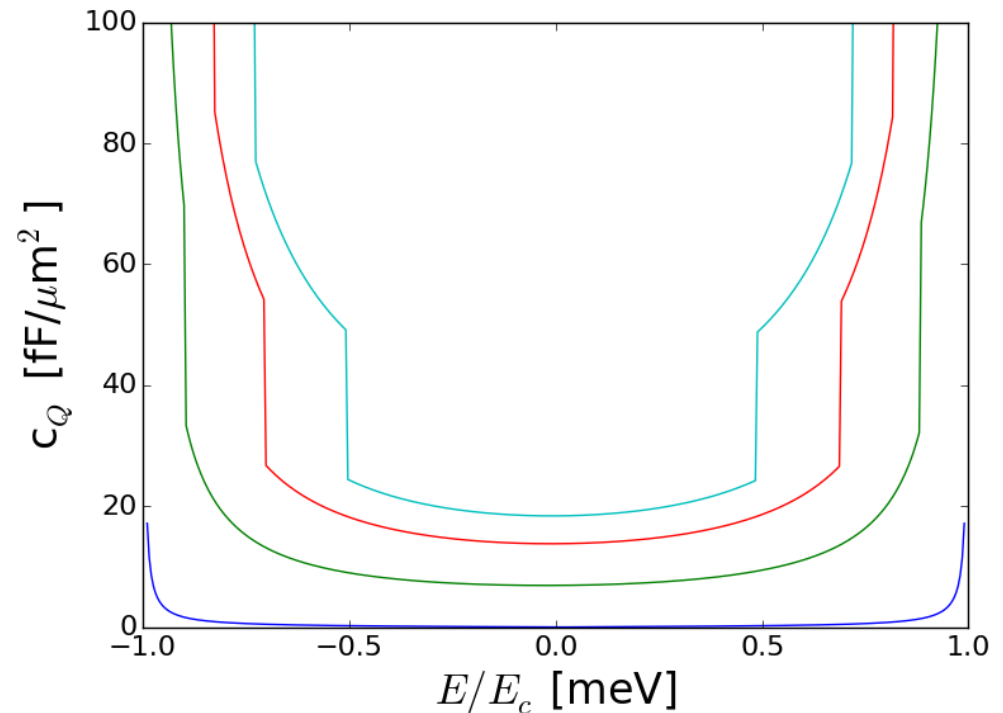
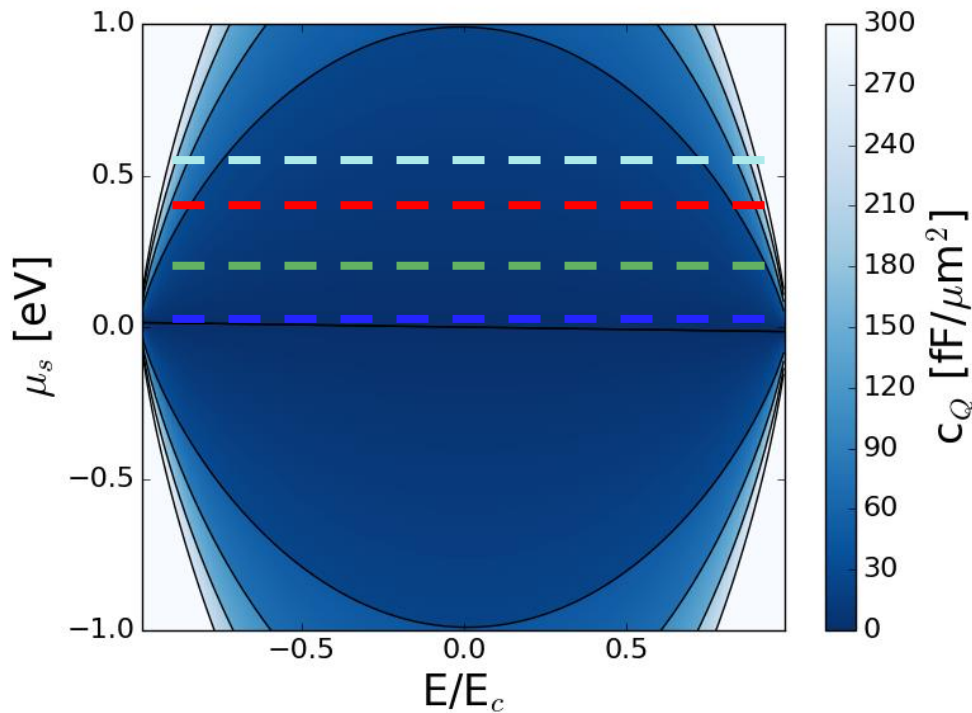
$$E_c = \frac{\Delta + \Delta'}{e \delta} \sim 0.6 \text{ V/nm}$$



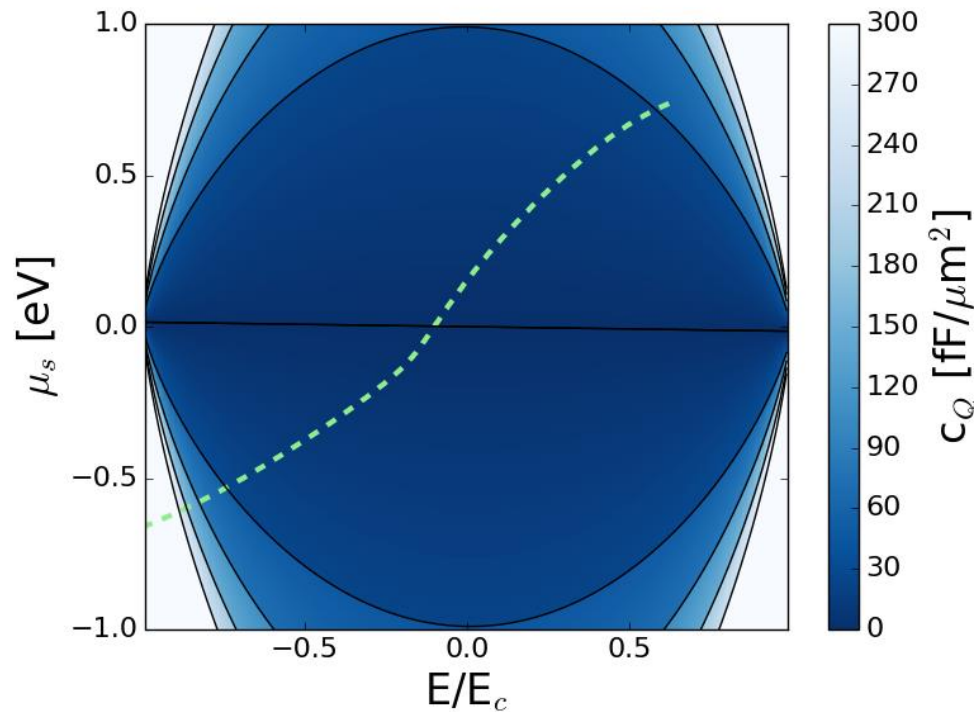
Gate voltage induces large fields \vec{E} at the surface, comparable to a surface critical E_c



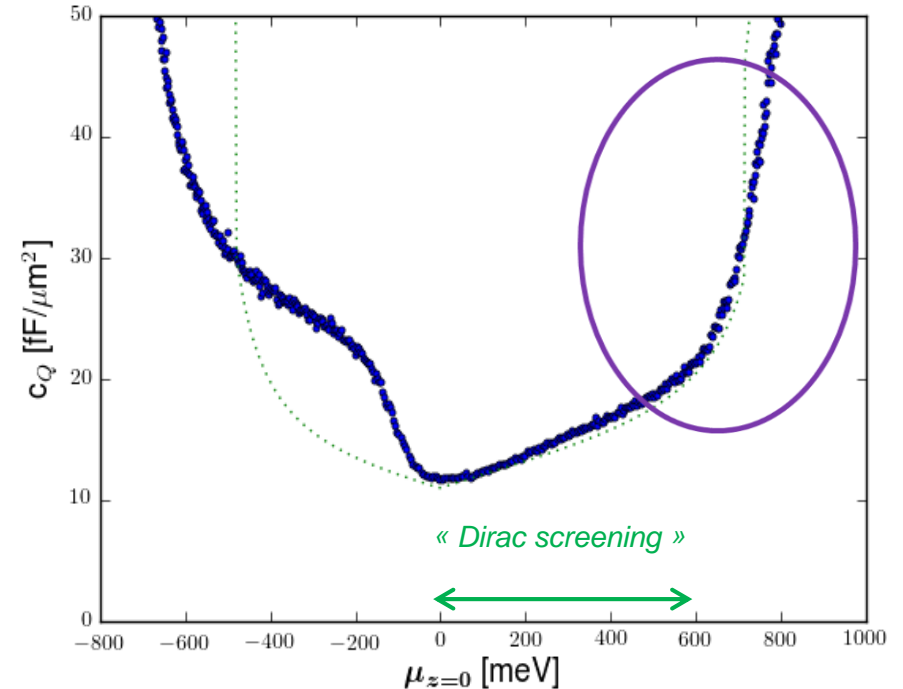
$$E_c = \frac{\Delta + \Delta'}{e \delta} \sim 0.6 \text{ V/nm}$$



Density of states (theory)

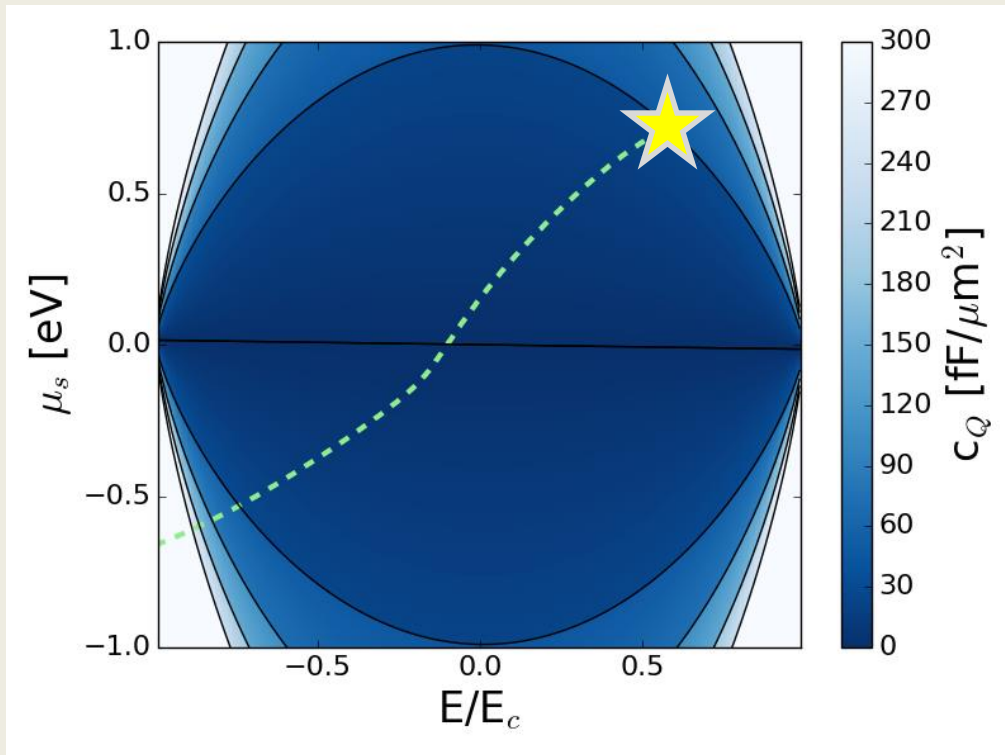


Quantum capacitance (exp.)

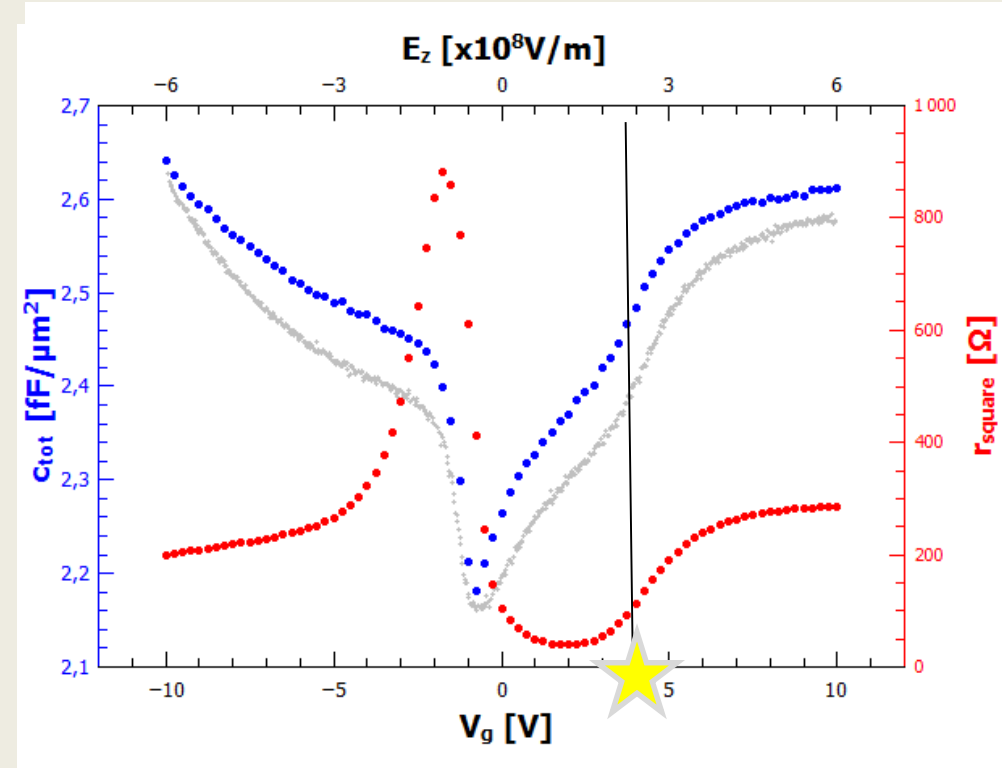


- Capacitance shows velocity renormalization (precursor of MSS nucleation)
- Difficult to see experimentally the capacitance step due to hysteresis
- Theoretical fit (green dotted line) with $\delta = 3nm$
- The toy model does not account for electron-hole asymmetry

Density of states (theory)

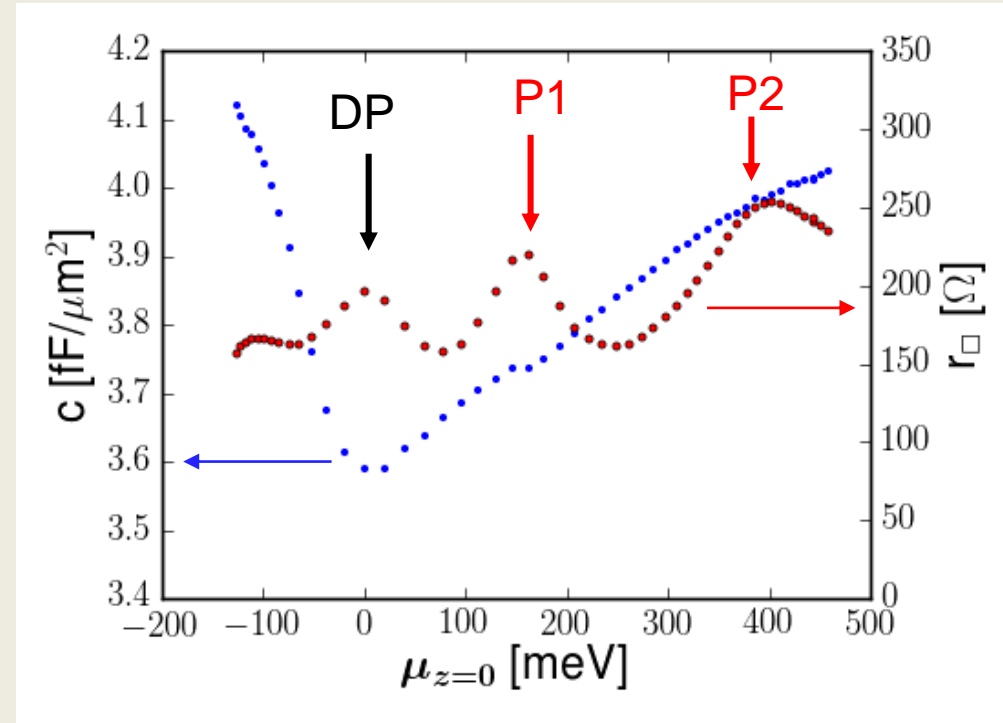
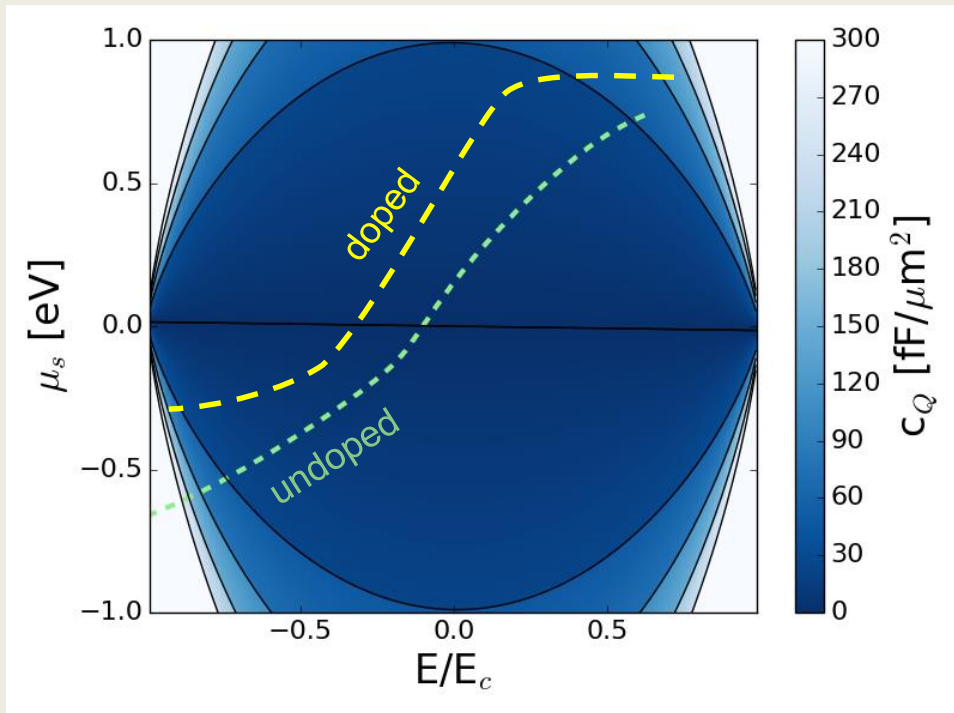


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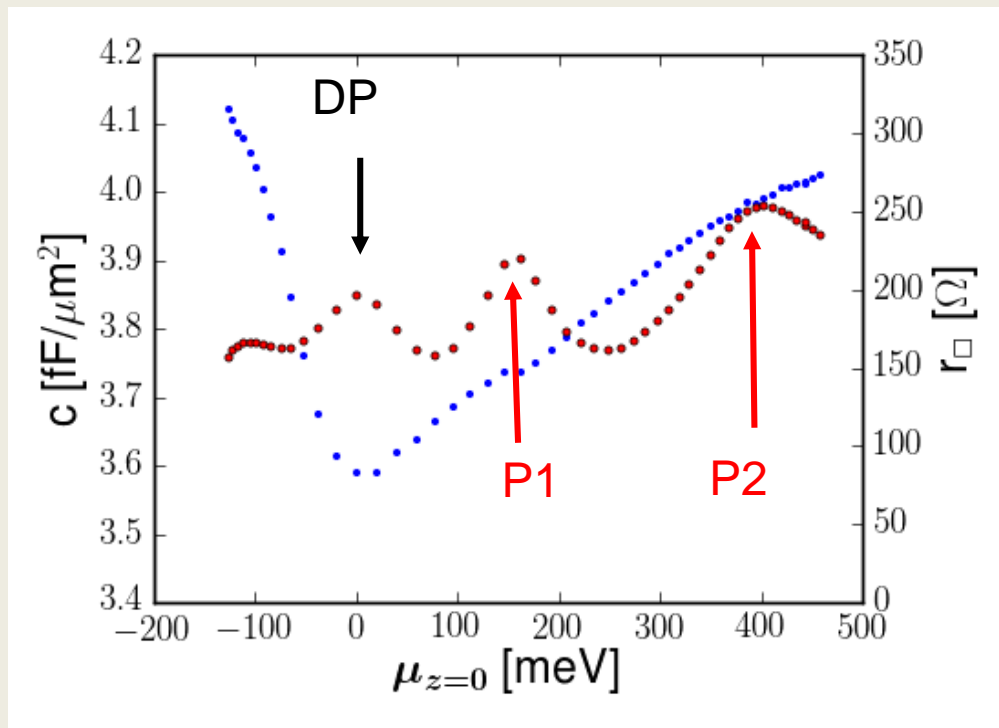
- High mobility TSS are very sensitive to scattering
- Resistance peak arises when MSS penetrates the surface (inter-subband scattering) (increased scattering DoS)

More peaks on doped sample!

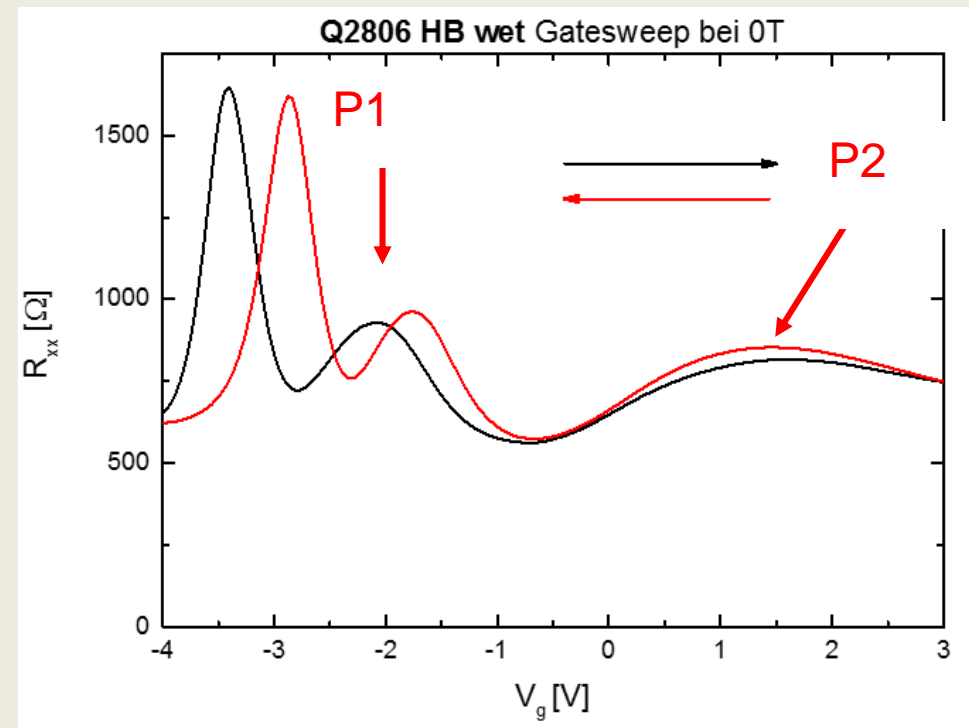


- Doped sample: Start from higher chemical potential
- Two additional resistance peaks
- The number of peaks and their energy separation depends on doping
- Exact path yet to be understood!

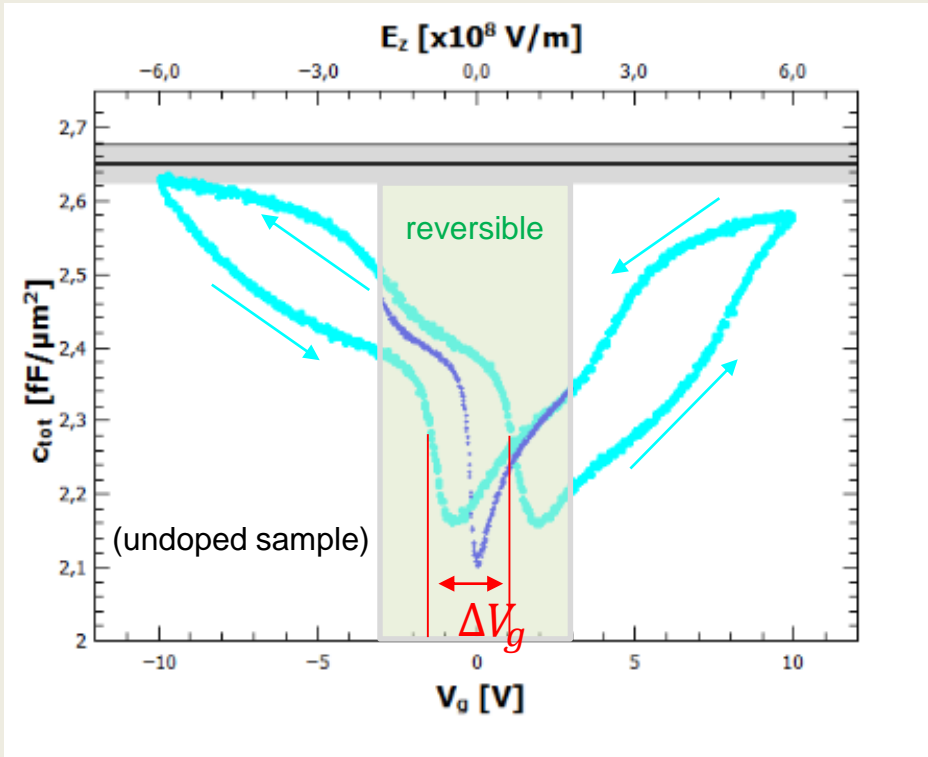
RF capacitor (Paris)



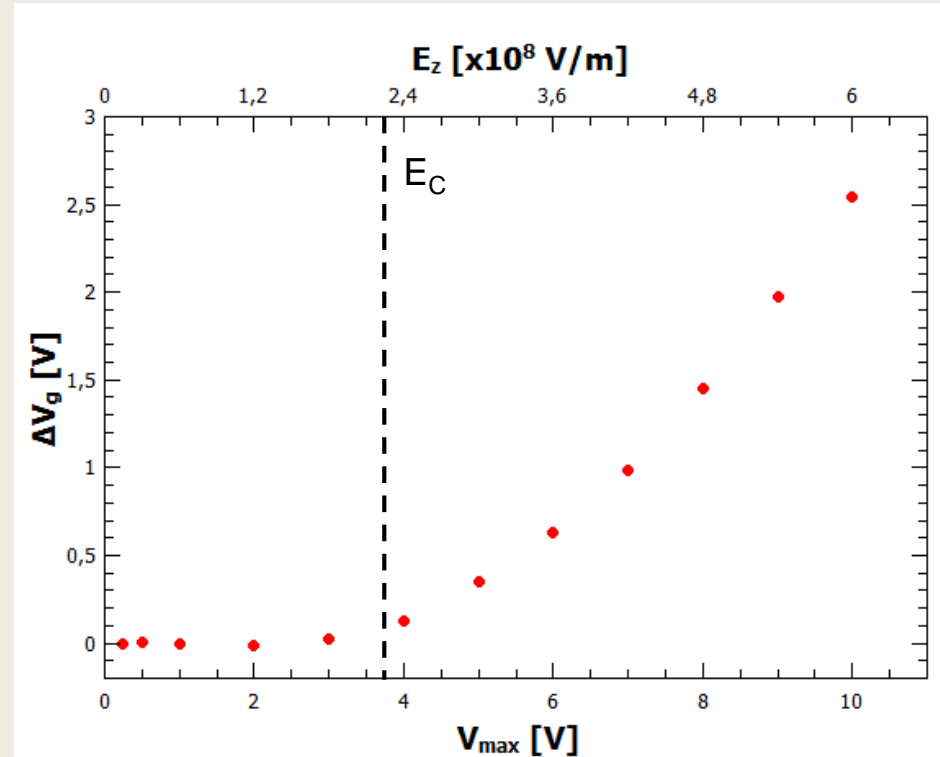
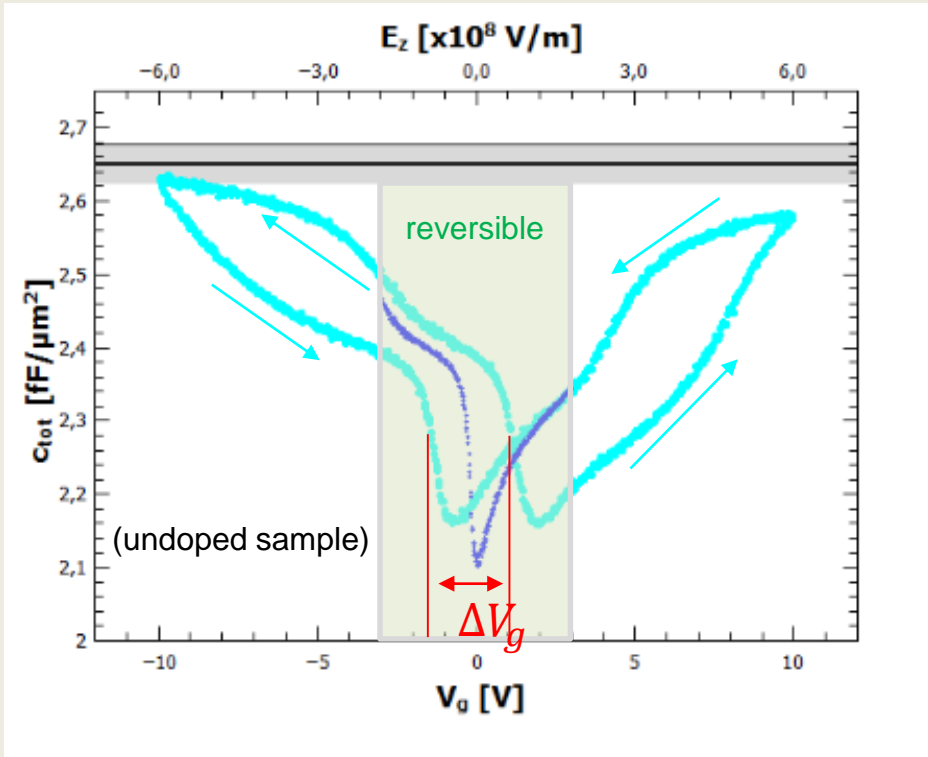
Hall-bar (Würzburg)



- Two additional resistance peaks
- No peak in the compressibility → scattering peaks
- Also seen in the Hall bar



- Meta-stability for large gate sweeps (observed on both samples)
- Upwards shift of capacitance minimum results in hysteretic cycles.



- Meta-stability for large gate sweeps (observed on both samples)
- Upwards shift of capacitance minimum results in hysteretic cycles.
- Onset of meta-stability coincides with subband nucleation
- Meta-stability arises after TSS screening "breakdown".

Results presented in this talk:

- Quantitative measurement TSS compressibility $c_Q^{TSS}(\mu, |\vec{E}|)$
- Critical electric field for TSS screening identified, $E_c = 2.2 \cdot 10^8$ V/m
- Excited massive surface state model:
 - Critical electrical field E_c
 - Phenomenology below and beyond E_c

Thank you for your attention